

09823

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**DISCOVISION ASSOCIATES**  
2355 Main Street, Suite 200, Irvine, CA 92714

**TELEPHONE: (949) 660-5000**  
**FAX (949) 660-1801**

**TO: Ms. Winette Stapor**  
**USPTO**

**Date: June 6, 2003**

**ATTN: Examiner LAVARIAS, Arnel C.**

**Number of Pages: Cover + 16**

**FROM: Mr. Richard Stokey, Esq**

**TELEPHONE NO. 1 703 306-5720**  
**FAX NO. 1 703 746-6880**

**PLEASE CONTACT US IF ANY PAGES  
ARE ILLEGIBLE OR ARE NOT RECEIVED**

**Our Docket No.: DE 2305.02 US**

**ATTN: Examiner Arnel C. Lavarias**

**SERIAL NO.: 09/866,337**

Enclosed please find the paperwork for the Request for Continued Examination (RCE). If you should have any questions or comments, please contact me at (949) 660-5006.

Thank you for your courtesy and cooperation pertaining to this matter.

Richard Stokey  
Patent Prosecution Attorney  
Discovision Associates  
Registration No. 40,383

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06/03 9:36AM; JettFax #470; Page 2

12/17/02 12:33:54

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Page 001

TO: Auto-reply fax to 949 660 1801 COMPANY:

05839

## Auto-Reply Facsimile Transmission



UNITED STATES  
PATENT AND  
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TO:

Fax Sender at 949 660 1801

Fax Information

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### FACSIMILE COMMUNICATIONS

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2355 Main Street, Suite 200, Irvine, CA 92714

TELEPHONE: (949) 860-5000  
FAX (949) 860-1801

TO: USPTO  
Honorable Commissioner for Patents  
Washington, D.C. 20531

Date: December 17, 2002

Number of Pages: Cover + 14

ATTN: LAVARIAS, Arnel C.

TELEPHONE NO. 1 703 805-3329  
FAX NO. 1 703 878-0317

FROM: Mr. Richard Stokely, Esq.

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Our Docket No.: DE 2305.02 US

**PLEASE ACKNOWLEDGE RECEIPT OF THE  
FOLLOWING DOCUMENTS.**

**ATTN: Examiner Arnel C. Lavarlas**

**SERIAL NO.: 09/866,337**

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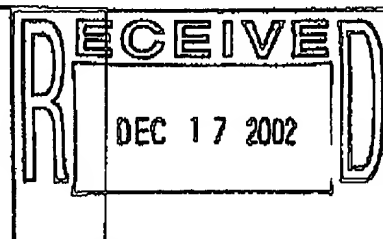
Thank you for your courtesy and cooperation pertaining to this matter.

Richard Stokely  
Patent Prosecution Attorney  
Discovision Associates  
Registration No. 10,380

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DE 2305.02 US

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0019133-17-000-02-09-32A



December 17, 2002

**VIA FACSIMILE**

Box RCE  
Honorable Commissioner for Patents  
Washington, D.C. 20231



22887

PATENT TRADEMARK OFFICE

RE: Inventor: Darren Chen  
TITLE: OPTICAL APPARATUS FOR REFLECTING LIGHT BEAMS TO  
AN IDENTICAL OPTICAL AXIS (AS AMENDED)  
USSN: 09/866,337  
Filed: May 25, 2001  
Atty. Docket No.: DE 2305.02 US

Dear Sir:

Enclosed for filing please find the following:

1. Transmittal Form;
2. Fee Transmittal Form;
3. Request for Continued Examination (RCE) Transmittal;
4. Amendment Transmittal Letter;
5. Amendment (Pages 1-7) including "Version with Markings to Show Changes Made";
6. Letter to Official Draftsman;
7. Corrected Drawing Figure 9, and
8. Certificate of Facsimile dated December 17, 2002.

Please indicate on the enclosed postcard the date of receipt of the enclosed materials and mail this prepaid postcard to acknowledge receipt of this transmittal.

Very truly yours,

DISCOVISION ASSOCIATES

A handwritten signature in black ink, appearing to read 'Richard J. Stokey'.

Richard J. Stokey  
Patent Prosecution Attorney  
INTELLECTUAL PROPERTY DEVELOPMENT

RS:cs

Enclosures

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Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  <i>(to be used for a// correspondence after initial filing)</i>	Application Number	09/866,337
	Filing Date	May 25, 2001
	First Named Inventor	Darren Chen
	Group Art Unit	2872
	Examiner Name	Lavarias, Arnel C.
Total Number of Pages in This Submission	Attorney Docket Number	DB 2305.02 US

ENCLOSURES <i>(check all that apply)</i>		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CID, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> Cover Letter Amendment Transmittal Letter Request for Continued Examination
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Richard J. Stokey, Reg. No. 40,383
Signature	<i>RJ Stokey</i>
Date	12/16/02

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <span style="border: 1px solid black; display: inline-block; width: 100px; height: 15px;"></span>			
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Signature		Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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# FEE TRANSMITTAL

## for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR § 1.27

TOTAL AMOUNT OF PAYMENT (\$) 908.00

## Complete if Known

Application Number 09/866,337

Filing Date May 25, 2001

First Named Inventor Darren Chen

Examiner Name Lavarias, Arnel C.

Group Art Unit 2872

Attorney Docket No. DE 2305.02 US

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 04-1175

Deposit Account Name DISCOVISION ASSOCIATES

The Commissioner is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee \$	Fee Description	Fee Paid
1001	2001	740	370	Utility filing fee	
1002	2002	330	165	Design filing fee	
1003	2003	510	255	Plant filing fee	
1004	2004	740	370	Reissue filing fee	
1005	2005	160	80	Provisional filing fee	

SUB TOTAL (1)

(\$)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from Below	Fee Paid
17	20** = 0	0	0
Independent Claims	5	3** = 2	84.00 = 168.00
Multiple Dependent		0	0

Large Entity	Small Entity	Fee Code	Fee \$	Fee Description	Fee Paid
1202	2202	18	9	Claim in excess of 20	
1201	2201	84	42	Independent claims in excess of 3	
1203	2203	280	140	Multiple dependent claim, if not paid	
1204	2204	84	42	** Reissue independent claims over original patent	
1205	2205	18	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$) 168.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee \$	Fee Description	Fee Paid
1051	2051	130	65	Surcharge - late filing fee or oath	
1052	2052	50	25	Surcharge - late provisional filing fee or cover sheet	
1053	2053	130	130	Non-English specification	
1812	2812	2,520	2,520	For filing a request for ex parte reexamination	
1804	2804	920*	920*	Requesting publication of SIR prior to Examiner action	
1805	2805	1,840*	1,840*	Requesting publication of SIR after Examiner action	
1251	2251	110	55	Extension for reply within first month	
1252	2252	400	200	Extension for reply within second month	
1253	2253	920	460	Extension for reply within third month	
1254	2254	1,440	720	Extension for reply within fourth month	
1255	2255	1,960	980	Extension for reply within fifth month	
1401	2401	320	160	Notice of Appeal	
1402	2402	320	160	Filing a brief in support of an appeal	
1403	2403	280	140	Request for oral hearing	
1451	2451	1,510	1,510	Petition to institute a public use proceeding	
1452	2452	110	55	Petition to revive - unavoidable	
1453	2453	1,280	640	Petition to revive - unintentional	
1501	2501	1,280	640	Utility issue fee (or reissue)	
1502	2502	460	230	Design issue fee	
1503	2503	620	310	Plant issue fee	
1460	2460	130	130	Petitions to the Commissioner	
1807	2807	50	50	Processing fee under 37CFR 1.17(q)	
1806	2806	180	180	Submission of Information Disclosure Stmt.	
8021	28021	40	40	Recording each patent assignment per property (times number of properties)	
1809	2809	740	370	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
1810	2810	740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	2801	740	370	Request for Continued Examination (RCE)	740.00
1802	2802	900	900	Request for expedited examination of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 740.00

## SUBMITTED BY

Name Printed/Typed Richard J. Stokey

Registration No. 40,383

Attorney / Agent

## Complete (if applicable)

Telephone (949) 660-5006

Date 12/16/02

## WARNING:

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## AMENDMENT TRANSMITTAL LETTER

Attorney Docket No. DE 2305.02 US

Confirmation No.: 4860

SERIAL NO.  
09/886.337FILING DATE  
5/25/01EXAMINER  
LAVARIAS, Amel C.Group Art Unit  
2872INVENTION  
OPTICAL APPARATUS FOR REFLECTING LIGHT BEAMS TO AN IDENTICAL OPTICAL AXIS (AS AMENDED)

TO THE ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is an amendment in the above-identified application. The fee has been calculated as shown below.

## CLAIMS AS AMENDED

Large Entity

(1)	(2) Claims Remaining After Amendment	(3)	(4) Highest No. Previously Paid for	(5) No. of Extra Claims Present	(6) RATE	(7) FEE CODES	(8) ADDITIONAL FEE
Total Claims	17	Minus	20 =	0	X \$18	103	= 0
Indep. Claims	5	Minus	3 =	2	X \$84	102	= 168.00
Multiple Dep. Claims		Minus			\$280	104	= 0

TOTAL ADDITIONAL FEE  
FOR THIS AMENDMENT

\$

168.00

\* If the entry in column 2 is less than the entry in column 4, write "0" in column 5.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

( ) No additional fee is required.

( ) A check in amount of \$\_\_\_\_.00 is attached.

(X) Please charge any additional fees or credit overpayment to Deposit Account No. 04-1175. The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 04-1175.

(X) Any patent application processing fees under 37 CFR 1.17.

(X) Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Date

12/16/02

*Richard Stokey*

Richard Stokey  
Registration No. 40,383  
DISCOVISION ASSOCIATES  
INTELLECTUAL PROPERTY DEVELOPMENT  
P.O. Box 19616 - Irvine, California 92623  
Phone: (949) 660-5006

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Date: December 17, 2002

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ATTN: LAVARIAS, Arnel C.

TELEPHONE NO. 1 703 306-3329  
FAX NO. 1 703 872-9317

FROM: Mr. Richard Stokey, Esq

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